

**INTERAGENCY AGREEMENT  
BETWEEN  
THE DEPARTMENT OF PUBLIC HEALTH  
AND  
THE DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES  
IGA# 163000071**

The Illinois Department of Public Health (DPH) and the Illinois Department of Healthcare and Family Services (HFS), pursuant to the Intergovernmental Cooperation Act, 5 ILCS 220/1 *et seq.*, hereby enter into this Interagency Agreement (Agreement) in connection with the administration of services related to the Maternal and Child Health (MCH) population. DPH and HFS are collectively referred to herein as "Parties" or individually as a "Party."

**ARTICLE I  
INTRODUCTION**

1.1 Background. Pursuant to 42 USC 1396a(a)(11), a State plan for medical assistance must "(A) provide for entering into cooperative agreements ["cooperative agreements" or "coordination agreements"] with the State agencies responsible for administering or supervising the administration of health services and vocational rehabilitation services in the State looking toward maximum utilization of such services in the provision of medical assistance under the plan, (B) provide, to the extent prescribed by the Secretary [of Health and Human Services], for entering into agreements, with any agency, institution, or organization receiving payments under (or through an allotment under) subchapter V [of chapter 7], (i) providing for utilizing such agency, institution, or organization in furnishing care and services which are available under such subchapter or allotment and which are included in the State plan approved under this section (ii) making such provision as may be appropriate for reimbursing such agency, institution, or organization for the cost of any such care and services furnished any individual for which payment would otherwise be made to the State with respect to the individual under section 1396b of this title, and (iii) providing for coordination of information and education on pediatric vaccinations and delivery of immunization services, and (C) provide for coordination of the operations under this subchapter, including the provision of information and education on pediatric vaccinations and the delivery of immunization services, with the State's operations under the special supplemental nutrition program for women, infants, and children under section 17 of the Child Nutrition Act of 1966 [42 USC 1786]."

DPH is responsible for promoting the health of the people of Illinois through health promotion and the prevention and control of disease and injury. HFS is the single State agency designated to administer the Illinois Medical Assistance Program. The MCH Services Block Grant authorized by Title V of the Social Security Act (SSA) and administered by DPH, and the Illinois Medical Assistance Program (Medicaid) authorized by Title XIX and Title XXI of the SSA, and State statute, and administered by HFS, both provide services to the MCH population of Illinois. Federal regulations require Medicaid agencies to coordinate services with Title V programs, and enter into arrangements with State agencies responsible for administering health services and vocational rehabilitation services, as well as with Title V MCH grantees (*See* 42 USC § 705(a)(5)(F) and 42 USC § 1396a(a)(11)). Further, the Parties have complementary goals, and wish to collaborate for the purposes set forth below.

1.2 Purpose. The Parties will partner and collaborate to improve the health status of Illinois' women, infants, and children, including children and youth with special health care needs, by sharing data

and assuring the provision of preventive services, health examinations, necessary treatment, support and follow-up care allowed under the SSA and enumerated by the Parties in their respective state plans. By partnering, the Parties can enhance their abilities, maximize the utilization of care, increase effectiveness, and protect against the duplication of efforts and expenditures of resources, both financial and non-financial, thereby more efficiently allocating resources. Further, by working together, the Agencies can promote the continuity of care, share expertise, and achieve greater accountability. This Agreement specifies the details regarding the Parties' partnership and collaboration.

## ARTICLE II DUTIES AND RESPONSIBILITIES OF THE PARTIES

### 2.1 DPH Requirements.

#### 2.1.1 Federal Requirements. Pursuant to 42 USC § 705(a)(5)(F), DPH shall:

- 2.1.1.1 Participate with HFS in the coordination of activities related to Medicaid's Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) services for individuals who are Medicaid-eligible, including Title XIX and Title XXI, and those covered under state statute, and under the age of 21.
- 2.1.1.2 Initiate agreements that the Parties are required to enter into.
- 2.1.1.3 Participate in the coordination of activities within the State with programs carried out under the above-mentioned subchapter and related Federal grant programs, including supplemental food programs, if any, for mothers, infants, and children, related education programs, and other health, developmental disability, and family planning programs.
- 2.1.1.4 Provide directly, and through DPH providers and institutional contractors, for services to identify pregnant women and infants who are eligible for Medicaid, and assist them in applying for such assistance.

#### 2.1.2 Other Requirements.

- 2.1.2.1 Maintain a toll-free MCH Hotline to help pregnant women and parents obtain information about MCH services under Title V and Title XIX, Title XXI, and those covered under state statute.

### 2.2 HFS Requirements.

#### 2.2.1 Federal Requirements. Pursuant to 42 USC § 1396a(a)(11) and 42 CFR § 431.615(d), and the CMS State Medicaid Manual, section 5230, HFS shall:

- 2.2.1.1 Abide by the requirements of 42 USC § 1396a(a)(11)
- 2.2.1.2 Abide by the requirements of 42 CFR § 431.615(d)

#### 2.2.2 State and Other Requirements. HFS shall:

- 2.2.2.1 Provide notification to DPH of any pending Medicaid termination proceedings initiated by HFS against MCH providers participating in the services contemplated by this Agreement by way of the HFS Office of Inspector General Provider Sanctions List available at:  
[www.illinois.gov/hfs/oig/Pages/SanctionsList.aspx](http://www.illinois.gov/hfs/oig/Pages/SanctionsList.aspx).
- 2.2.2.2 Actively participate in any advisory boards for the Maternal and Child Health Block Grant Program established by DPH pursuant to federal rules or guidance.
- 2.2.2.3 Refer potential eligibles to DPH Title V programs via the HFS Helpline and other avenues.

- 2.2.2.4 At least annually but preferably every six (6) months, provide DPH with data related to the Maternal and Child Health Services National Health Systems Capacity Indicators, National Performance Measures, and State Performance Measures. (See Attachment A for specifics).
- 2.2.2.5 Collaborate on the federally required assessment of MCH primary and preventive health care needs.
- 2.2.2.6 Collaborate with DPH and other key stakeholders in the determination of the State's MCH priorities, strategies, and national performance measures.
- 2.2.2.7 Maintain a toll-free Hotline service to help pregnant women and parents obtain information about Medicaid/CHIP services under Title XIX and Title XXI.

2.3 Mutual Responsibilities. DPH and HFS, having mutual goals and objectives, shall:

- 2.3.1 Enhance early identification of individuals in need of MCH program services or Medicaid-covered services through cross-agency coordination and use of data analytics (pursuant to a Data Sharing Agreement among the Illinois Departments of Human Services, Public Health and Healthcare and Family Services (also known as, "the Vital Records Agreement").
- 2.3.2 Share plans for health services provided or arranged for beneficiaries by accessing the Parties' Informational Notices and Provider Notices available online.
- 2.3.3 Share departmental policies and procedures impacting health care provided to women and children.
- 2.3.4 Coordinate activities and initiatives that will improve the overall health and well-being of Illinois' mothers, infants, and children.
- 2.3.5 Align performance and quality measures used by both Parties.
- 2.3.6 Consolidate and streamline legislative mandates, including PA 93-0536 (Perinatal Report), PA 96-1117 (Prematurity Report), PA 97-0689 (The SMART Act), and PA 099-0901 (Advisory Board for the Maternal and Child Health Block Grant Programs Act) and coordinate efforts to improve birth outcomes.
- 2.3.7 Designate State and local liaison teams (Liaison Teams), including the DPH Director or his/her designee, the HFS Director or his/her designee, at least three (3) appointees each from DPH and HFS, and two (2) appointees with expertise related to children with special health care needs.
- 2.3.8 Liaison Teams shall meet on a quarterly basis in person, by teleconference, or by videoconference, to review current practices, determine the effectiveness of policies affecting the cooperative work of the Parties, and plan for any changes necessary to this Agreement.
- 2.3.9 Designate one member of the Liaison Team as a Lead Liaison. The Lead Liaison shall be the primary point of regular interagency communication.
- 2.3.10 DPH and HFS shall partner to reduce and/or eliminate health disparities through the mutual sharing of information, resources and data regarding accessibility and utilization of healthcare services including, but not limited to, physical, behavioral and oral health.

**ARTICLE III  
TERM**

- 3.1 Term. This Agreement shall commence on July 1, 2019 and shall continue until June 30, 2022, or as otherwise terminated as set forth herein.

**ARTICLE IV  
TERMINATION**

- 4.1 Termination on Notice. This Agreement may be terminated by either Party for any or no reason upon thirty (30) days' prior written notice to the other Party.
- 4.2 Termination for Breach. In the event either Party breaches this Agreement and fails to cure such breach within ten (10) days' written notice thereof from the non-breaching Party, the non-breaching Party may terminate this Agreement upon written notice to the breaching Party.
- 4.3 Availability of Appropriation; Sufficiency of Funds. This Agreement is contingent upon and subject to the availability of sufficient funds. Either Party may terminate or suspend this Agreement, in whole or in part, without advance notice and without penalty or further payment being required, if (i) sufficient funds for this Agreement have not been appropriated or otherwise made available to either Party by the State or the Federal funding source, (ii) the Governor or either Party reserves funds, or (iii) the Governor or either Party determines that funds will not or may not be available for payment. The Party taking action pursuant to this Section shall provide notice, in writing, of any such funding failure and its election to terminate or suspend this Agreement as soon as practicable. Any suspension or termination pursuant to this Section will be effective upon the date of the written notice unless otherwise indicated.

**ARTICLE V  
CONFIDENTIALITY AND SECURITY**

- 5.1 Confidentiality and Security.
- 5.1.1 All information, records, data, and data elements pertaining to applicants for, and recipients of, public assistance data in the possession of the Parties as a result of this Agreement is subject to the confidentiality provisions of applicable federal and state statute, rules, and regulations.
- 5.1.2 The confidential nature of the information must be preserved by all Parties with access to the information.
- 5.1.3 The Parties agree to implement and maintain reasonable and appropriate safeguards to protect the confidentiality and security of the Information, and to protect the Information from unauthorized access, acquisition, destruction, use, modification, or disclosure. Information will be secured at all times, whether in transit or at rest, and in both paper and electronic form.
- 5.1.4 The information shall not be released to any third party, published, or otherwise made public, except as allowed or required by law.
- 5.1.5 The Parties shall ensure that all information shall be protected from unauthorized disclosure by their employees and contractors, pursuant to 305 ILCS 5/11-9, 11-10, and 11-12; 42 U.S.C. 654(26); 42 CFR Part 431, Subpart F; and 45 CFR Parts 160 and 164.
- 5.1.6 The Parties shall ensure that all Information shall be protected from unauthorized use and disclosure by DPH, pursuant to 305 ILCS 5/11-9, 11-10, and 11-12; 42 USC 654(26); 42 CFR Part 431, Subpart F; and 45 CFR Part 160 and 45 CFR Part 164, Subparts A and E.

- 5.1.7 Personally identifiable information (PII) is subject to the confidentiality provisions of Federal and State statutes, rules and regulations, including, but not limited to, Title XIX of the *Social Security Act (42 USC 1396 et seq.)*. When PII is exchanged or shared by DPH and HFS, the following rules shall apply: (i) the confidential nature of the information must be preserved; (ii) the information furnished must be used only for the purposes for which it was made available; (iii) the steps will be taken as required under this Agreement to safeguard the information; and (iv) access to the information shall be limited to personnel who require the information to perform their duties or for whom access is permitted by statute or regulation. The release of PII, data, or records by either Party and/or their respective staff to any unauthorized person may subject HFS or DPH and their respective staff to criminal and/or civil penalties as imposed by law pursuant to 42 USC 1320d-5(a)(1), 42 CFR 1320D-6(a), and 45 CFR 160.404.
- 5.1.8 To the extent that any data contains client-specific or identifying data, such exchange shall be provided only for the purpose set forth in Article 1 or for program participants of the receiving Party unless otherwise specified herein. This restriction shall apply to aggregate data or de-identified client-specific data as defined in HIPAA at 45 CFR 164.514.
- 5.1.9 All data shall be considered and treated as Protected Health Information (PHI) and is therefore strictly confidential. The Parties agree that PHI shall not be subject to disclosure under the Freedom of Information Act (FOIA), 5 ILCS 140, or any other law, except to the individual to whom the PHI belongs. Upon a recipient Party's receipt of a FOIA request, discovery request, order from an administrative law judge, subpoena, or court order related to the PHI data provided pursuant to this Agreement, the receiving Party shall immediately notify the party responsible for the PHI data requested. The responsible Party shall coordinate with the recipient Party to determine whether the information requested contains identifiable or confidential information, and whether it shall be released. The recipient Party shall resist inappropriate attempts to obtain PHI.
- 5.2 Use of the Data.
- 5.2.1 Use of the data exchanged under this Agreement is restricted to the purposes stated in Article 1 of this Agreement.
- 5.2.2 No Party hereto will initiate evaluation or analysis of another Party's program herein, or report or publish results of such evaluation or analysis with regard to the other Party's program, without written consent of the Party responsible for the program. Such consent shall be obtained from the authorized representative of the relevant Party.
- 5.3 Ownership and Redistribution of Data. Data provided by either Party pursuant to this Agreement is and remains the sole and exclusive property of the providing Party and shall not be copied, reproduced, shared, or redisclosed in any identifiable form except as expressly set forth herein. Either Party may incorporate the donated data and may analyze the data as necessary for the purpose stated in Article 1 of this Agreement.
- 5.4 Destruction of Data. (i) Upon termination of this Agreement, both Parties shall promptly destroy the Data and any and all documents, records, notes, communications, writings, charts, or other recorded matter of any kind containing Data. This destruction must be performed in a manner no less restrictive than set forth in the requirements for "Purge" contained in NIST Special

Publication 800-88, Appendix A: Minimum Sanitization Recommendation for Media Containing Data. Both Parties must certify in writing the method used to destroy the Data, including the date and time of destruction. If destruction of the Data or documents, records, notes, communications, writings, charts, or other recorded matter of any kind containing Data is unfeasible, the Party shall continue to protect the confidentiality and security of the Data according to the requirements of this Agreement, HIPAA, the applicable Medicaid Confidentiality regulations, and any other applicable security or privacy law.

## ARTICLE VI MISCELLANEOUS

- 6.1 Renewal. This Agreement may be renewed for two additional two-year periods by mutual consent of the Parties, expressed in writing and signed by the Parties.
- 6.2 Amendments. This Agreement may be modified or amended at any time during its term by mutual consent of the Parties, expressed in writing and signed by the Parties.
- 6.3 Applicable Law and Severability. This Agreement shall be governed in all respects by the laws of the State of Illinois. If any provision of this Agreement shall be held or deemed to be or shall in fact be inoperative or unenforceable as applied in any particular case in any jurisdiction or jurisdictions or in all cases because it conflicts with any other provision or provisions hereof or any constitution, statute, ordinance, rule of law or public policy, or for any reason, such circumstance shall not have the effect of rendering any other provision or provisions contained herein invalid, inoperative or unenforceable to any extent whatsoever. The invalidity of any one or more phrases, sentences, clauses, or sections contained in this Agreement shall not affect the remaining portions of this Agreement or any part thereof. In the event that this Agreement is determined to be invalid by a court of competent jurisdiction, it shall be terminated immediately.
- 6.3 Records Retention. The Parties shall maintain for a minimum of six (6) years from the later of the date of final payment under this Agreement, or the expiration of this Agreement, adequate books, records and supporting documents. If an audit, litigation or other action involving the records is begun before the end of the six-year period, the records shall be retained until all issues arising out of the action are resolved.
- 6.4 No Personal Liability. No member, official, director, employee or agent of DPH or HFS shall be individually or personally liable in connection with this Agreement.
- 6.5 Assignment; Binding Effect. This Agreement, or any portion thereof, shall not be assigned by any of the Parties without the prior written consent of the other Parties. This Agreement shall inure to the benefit of and shall be binding upon DPH and HFS and their respective successors and permitted assigns.
- 6.6 Precedence. In the event there is a conflict between this Agreement and the exhibits hereto, if any, this Agreement shall control. In the event there is a conflict between this Agreement and relevant federal law(s), federal rule(s), statute(s) or Administrative Rule(s), the relevant statute(s) or rule(s) shall control.

6.7 Entire Agreement. This Agreement constitutes the entire agreement between the Parties; no promises, terms, or conditions not recited, incorporated or referenced herein, including prior agreements or oral discussions, shall be binding upon either Party.

6.8 Notices. All written notices, requests and communications may be made by regular or electronic mail to the addresses set forth below.

To DPH: Shannon Lightner, MPA, MSW  
Deputy Director Office of Women's Health and Family Services  
122 S. Michigan, 7<sup>th</sup> Floor  
Chicago, IL 60603  
[Shannon.Lightner@illinois.gov](mailto:Shannon.Lightner@illinois.gov)

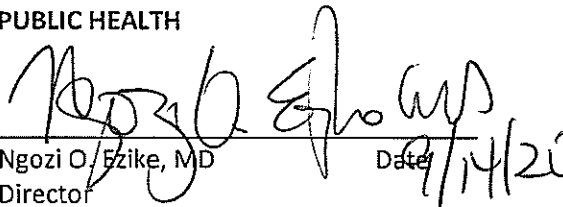
To HFS: Kiran Mehta, Office of General Counsel  
Illinois Department of Healthcare and Family Services  
401 South Clinton, 6<sup>th</sup> Floor  
Chicago, Illinois 60607  
[Kiran.Mehta@illinois.gov](mailto:Kiran.Mehta@illinois.gov)  
[HFS.Privacy.Officer@illinois.gov](mailto:HFS.Privacy.Officer@illinois.gov) (for data breach notifications)

6.9 Headings. Section and other headings contained in this Agreement are for reference purposes only and are not intended to describe, interpret, define or limit the scope, extent or intent of this Agreement or any provision hereof.

6.10 Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be considered to be one and the same agreement, binding on all Parties hereto, notwithstanding that all Parties are not signatories to the same counterpart. Further, duplicated signatures, signatures transmitted via facsimile, or signatures contained in a Portable Document Format (PDF) document shall be deemed original for all purposes.

IN WITNESS WHEREOF, the Parties hereto have caused this Agreement to be executed by their duly authorized representatives.

**ILLINOIS DEPARTMENT OF  
PUBLIC HEALTH**

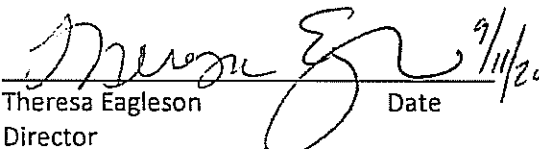
  
\_\_\_\_\_  
Ngozi O. Ezike, MD  
Director  
Date 9/14/20

\_\_\_\_\_  
Designee Signature                      Date

\_\_\_\_\_  
Printed Designee Name

\_\_\_\_\_  
Designee Title

**ILLINOIS DEPARTMENT OF  
HEALTHCARE AND FAMILY SERVICES**

  
\_\_\_\_\_  
Theresa Eagleson  
Director  
Date 9/11/20

\_\_\_\_\_  
Designee Signature                      Date

\_\_\_\_\_  
Printed Designee Name

\_\_\_\_\_  
Designee Title

## ATTACHMENT A

HFS Reports		Criteria
<p>Reports will be provided at the periodicity indicated by the measure specifications. HEDIS® measures will be provided annually for the measure year preceding the current year, e.g., CY2013 data reported for CY2014. This is consistent with the measure specifications and provides a sufficient run-out period to assure data are complete. Non-HEDIS® measures will be provided annually or biennially, as indicated.</p>		
<b>Child Related Reports</b>		<b>Source:</b>
Childhood Immunization Status		Business Objects Report
Well-Child Visits in the First 15 Months of Life	0 visits and 6 or more visits	Business Objects Report
Well-Child Visits	3 <sup>rd</sup> , 4 <sup>th</sup> , 5 <sup>th</sup> and 6 <sup>th</sup> years of life	Business Objects Report
Lead Screening in Children		Business Objects Report
Children and Adolescent Access to PCPs	12 – 24mos, 25mos to 6 yrs, 7 – 11 yrs , 12-19 yrs	Business Objects Report – also includes 12-19 years
EPSDT Screening	Health history, nutritional assessment, developmental screening, physical exams, growth measurement, hearing, vision, hematocrit or hemoglobin and other referrals documented	CMS-416
<b>Adolescent Related Reports</b>		
Adolescent Well-Care Visits		Business Objects Report – 12 through 20 years
Immunization for Adolescents (Combined Rate)		Business Objects Report
Adolescent Access to PCPs	12 to 19 yrs	See Child and Adolescent Access to PCP – this will be provided as one combined report for the ages indicated
Chlamydia Screening	16 to 20 yrs	Business Objects Report
Teen Births		HFS can run these data for Medicaid enrolled only.
<b>Adult Related Reports</b>		
Follow-up After Hospitalization for Mental Illness	Percent of Medicaid providers 21 and older that were hospitalized for selected mental health disorders –	Business Objects Report – Rates of Medicaid enrollees ages 21 to 64 and 65 and older who were hospitalized for treatment of selected mental illness diagnoses and who had an outpatient visit, intensive outpatient visit, or partial hospitalization with a mental health practitioner

		within 7 and 30 days after discharge for mental health.
Comprehensive Diabetes Care LDL-C Screening	Age 18 to 75 with type 1 and type 2 that had LDL screening	Business Objects Report – Also can provide by 18-64 years and 65 to 75 years
Comprehensive Diabetes Care Hemoglobin A1c Testing	Age 18 to 75 with type 1 and type 2 that had Hemoglobin A1c testing	Business Objects Report – Also can provide by 18-64 years and 65 to 75 years
<b>Women Related Reports</b>		
Percentage of Women Receiving Postpartum Care	Visit on or between 21 -56 days after delivery	Business Objects Report
Chlamydia Screening in Women	21 – 24 yrs Combined rates (16 – 24 yrs) had at least one screening during measurement year	Business Objects Report – Can be provided by 16-20 years, 21-24 years, and combined
Breast Cancer Screening	Women ages 50 to 74 that received a mammogram	Business Objects Report – Can provide 50-64, 65 to 74, and combined
Cervical Cancer Screening	Women ages 24 to 64 that received a Pap test	Business Objects Report
Frequency of Ongoing Prenatal Care	0-21%, 21-40%, 41-60% and 81 -100% of visits	Business Objects Report – Frequency is by 0%-21%, 21%-40%, 41%-60%, 61%-80%, and ≥81% of visits
Perinatal Care Depression Screening	Prenatal only, Postpartum only and Both	0-21%, 21-40%, 41-60% and 81 -100% of visits
Timeliness of Prenatal Care	Visits in 1 <sup>st</sup> trimester or within 42 days of enrollment	Business Objects Report
Elective Delivery	Elective vaginal or cesarean deliveries ≥37 and < 39 weeks	Business Objects Report
<b>HFS Covered Medicaid Deliveries</b>		
HFS Non-normal Births Prenatal Services in Level III Facility	By Non-Normal, LBW, VLBW, And Demise	Available biennially as part of Perinatal Report
Odds Ratio Of Adverse Birth Outcomes For HFS Women With Previous Births Based On Selected Risk Factors	To name a few factors, multiple births, eclampsia, previous low birth, diabetes, incompetent cervix, premature rupture membrane, polyhydrominis, maternal age, hypertension, drug abuse, mental disorder, preterm, cardio....etc	Available as updated by HFS
Medicaid Births With Family Planning Services Within Six Months After Delivery	By Non-Normal, LBW, VLBW, And Demise	Available biennially as part of Perinatal Report

